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10 Attorneys for Monitor
11 KRISTA L. FREITAG

12 **UNITED STATES DISTRICT COURT**
13 **CENTRAL DISTRICT OF CALIFORNIA**
14 **WESTERN DIVISION**

15 COMMODITY FUTURES TRADING
16 COMMISSION,

17 Plaintiff,

18 v.

19 MONEX DEPOSIT COMPANY,
20 MONEX CREDIT COMPANY,
NEWPORT SERVICE
21 CORPORATION, MICHAEL
22 CARABINI, AND LOUIS
CARABINI

23 Defendants.
24

Case No. 8:17-cv-01868-JVS-DFM

MOTION FOR ORDER

**(A) APPROVING MONITOR'S
FINAL ACCOUNTING AND
REPORT**

**(B) APPROVING FINAL PAYMENTS
TO MONITOR AND
PROFESSIONALS, AND**

(C) DISCHARGING MONITOR

**MEMORANDUM OF POINTS AND
AUTHORITIES**

25 Date: October 6, 2025
26 Time: 1:30 p.m.
27 Ctrm.: 10 C
28 Judge: Hon. James V. Selna

1 **TO THE HONORABLE JAMES V. SELNA JUDGE OF THE UNITED**
2 **STATES DISTRICT COURT IN THE WESTERN DIVISION OF THE**
3 **CENTRAL CIRCUIT, AND INTERESTED PARTIES:**

4 Krista L. Freitag (“Monitor”), the Court-appointed Substitute Monitor for the
5 Monex Restitution Fund, hereby submits this Monitor’s Final Accounting and Report.
6 This report reflects activities as reported by the Former Monitor (defined below)
7 through June 25, 2024 as well as work and activities performed by the Monitor for
8 the period from June 26, 2024 through to date.

9 **APPOINTMENT OF NEW SUBSTITUTE MONITOR**

10 On June 25, 2024, pursuant to a Joint Stipulation filed by the parties and
11 the Order Modifying Consent Order and Appointing Substitute Monitor, the Court
12 appointed Krista L. Freitag as the new substitute Monitor in place of Thomas
13 Seaman Company (“Former Monitor”).

14 **DISTRIBUTION RESULTS**

15 As previously reported, the Former Monitor initiated the claims process in
16 early December 2023 by mailing a Notice of Bar Date and a pre-populated claim
17 form containing each investor’s qualifying net loss derived from Monex’ books and
18 records. Mailing was completed on December 5, 2023. The Notice and Claim Form
19 were mailed to 10,313 investors with losses in the aggregate amount of
20 \$448,715,546. The Former Monitor also emailed the Notice and Claim Form to
21 9,730 investors.

22 Based on the large number of undeliverable notices and emails, and receipt of
23 claims from approximately 40% of the 10,313 investors, the Former Monitor
24 extended the Bar Date from February 3, 2024 to March 29, 2024 to allow for
25 additional efforts including airing notices on television, expanded search engine
26 optimization and expanded social media campaigns to reach claimants. The Former
27 Monitor then decided to extend the Bar Date for one short additional extension to
28 April 15, 2024 to allow time for the additional social media campaigns and digital
advertising to reach more claimants.

1 Upon the Court's approval of the transition from the Former Monitor to
2 Monitor, the Monitor and her staff completed the claims review process and on
3 November 11, 2024, the Monitor filed her Notice of Motion and Motion for Order:
4 (1) Approving Monitor's Recommended Treatment of Claims (Allowed,
5 Disallowed, Disputed); (2) Approving Distribution Methodology and Amounts; and
6 (3) Approving Proposed Distribution Plan (the "Distribution Motion"). As discussed
7 in the Distribution Motion, the final number of claims filed was 5,427, which
8 included 601 duplicate claims; as such, the final number of claims recommended as
9 allowed was 4,826 (nearly 47% of the 10,313 sent). And of the 119 initially
10 disputed claims, the Monitor and her staff were able to get all to ultimately agree
11 with the Monitor's claim calculation, with the exception of 10 claimants. The Court
12 ultimately agreed with the Monitor's assessment of the remaining disputed claims
13 and on December 4, 2024, approved the Distribution Motion and that **\$32,826,964**
14 was to be distributed as recommended therein.

15 Thereafter, the Monitor and her staff promptly began completing the lengthy
16 distribution process. As articulated in the Former Monitor's claim forms,
17 distributions payments were paid by check, wire, Paypal and Venmo. The initial
18 batch of checks was mailed on December 30, 2024, and the first batch of wires went
19 out on January 3, 2025. After encountering various issues with non-wire electronic
20 payments, the Paypal and Venmo payments were sent out on January 21, 2025. The
21 Monitor and her staff made extraordinary efforts over the past six-plus months to get
22 payments re-issued (approximately 265 checks were re-issued) and negotiated, and
23 as a result, the total actual distribution amount paid to claimants is **\$32,799,943**, a
24 **99.92%** completion rate. A total of \$27,021 remains unpaid to 73 claimants, of
25 which 28 claims represent de minimis amounts not paid as the dollar value of each
26 payment was less than \$10.00. The Monitor's staff made efforts to contact the other
27 45 claimants that had not deposited their check, or whose checks were returned, but
28 such efforts were ultimately not successful.

As has been previously reported, the Monitor and her staff spent dozens of unexpected hours working through hundreds of claims with disputes, payee discrepancies, title changes, etc. Due to the length of time between the relevant period (2011) and the claims process, circumstances had changed for a number of Monex customers. While each situation varied, there were among other changes, divorces, entity dissolutions, and deaths, for which follow-on legal and/or beneficiary documents were required to be provided and reviewed by the Monitor's staff to ensure proper payees received the distribution payments. The following chart shows a breakdown of the distribution totals by payment type:

Distribution Payments Made:

Check/Wire (4,599)	\$ 32,345,369
Paypal/Venmo (154)	\$ 454,574
Grand Total Paid (4,753)	<u>\$ 32,799,943</u>

ACCOUNTING

Attached hereto as **Exhibit A** are a *cash basis* Balance Sheet and a Profit & Loss Statement, which reflects receipts and disbursement activities for the duration of the Monitorship. In summary, cash receipts for the monitorship period totaled \$33,000,000 plus \$1,255,492 of interest income. And cash disbursements for the monitorship period totaled \$32,799,943 of distributions, \$351,887 of Former Monitor fees and expenses, \$359,642 of Monitor fees and expenses, \$86,271 of Allen Matkins legal fees and expenses, \$257,600 of Stretto claim administration logistics and support services, \$156,887 of public outreach expenses incurred by the Former Monitor, \$151,354 of income tax expenses primarily resulting from 2023 interest income earned and \$25,543 of tax accounting (QSF tax work), distribution (postage, printing, mailing, payment processing fees, etc.) and other miscellaneous expenses.

The following chart shows the *cash basis* receipts and disbursements for the entirety of the case, broken out by reporting periods:

	1 st Status Report April to Dec. '23	2 nd Status Report Jan to March '24	3 rd Status Report April to Aug. '24	Final Report Sept '24 to Aug '25	TOTAL
Beginning Balance	\$33,000,000	\$33,503,629	\$33,343,787	\$33,274,002	\$33,000,000
Interest Income	\$503,628	\$187,635	\$315,436	\$248,793	\$1,255,492
Expenses Paid:					\$0
Computer/ IT Services			(\$1,760)		(\$1,760)
Distribution Exp.				(\$11,903)	(\$11,903)
Distribution to Claimants				(\$32,799,943)	(\$32,799,943)
Office Supplies		(\$45)			(\$45)
Outside Services				(\$192)	(\$192)
Accountants -Taxes		(\$2,921)		(\$8,722)	(\$11,643)
Claims Admin Fees (Stretto)		(\$98,910)	(\$109,625)	(\$49,065)	(\$257,600)
Legal Fees			(\$9,862)	(\$76,409)	(\$86,271)
Monitor Fees		(\$87,900)	(\$263,987)	(\$359,642)	(\$711,529)
Public Outreach		(\$156,900)	\$13		(\$156,887)
Taxes		(\$800)		(\$150,554)	(\$151,354)
Total Expenses		(\$347,477)	(\$385,221)	(\$33,456,430)	(\$34,189,127)
Ending Balance	\$33,503,629	\$33,343,787	\$33,274,002	\$66,365	\$66,365

FINAL DISPOSITION OF FUNDS

The \$66,365 currently held by the Monitor will be distributed as follows:

E3 Advisors Final Fee application	\$43,310
Allen Matkins Final Fee application	\$10,319
Stretto Final Fee application	\$9,609
Wertz & Co. Final tax return preparation	\$3,000
Iron Mountain for document destruction	<u>\$127</u>
TOTAL	<u>\$66,365</u>

MONITOR'S FEES AND EXPENSES

For the time period March 1, 2025 through August 19, 2025, the Monitor performed 220.10 hours of work and incurred \$60,610.50 and \$84.79 in fees and expenses. The Monitor's bill detailing the work done is attached hereto as **Exhibit B**. The work primarily involved completing the actual distribution of funds as approved and ordered by the Court. The Monitor expended extraordinary efforts to get nearly 100% of the distributions successfully negotiated, and as a result spent time well beyond that which was estimated in the original holdback. Thus, the Monitor is writing off \$17,385 of fees for the period from March 1, 2025 and August 19, 2025; this is in addition to the \$10,000 reduction in fees the Receiver made in the prior fee application.

MONITOR'S LEGAL COUNSEL FEES AND EXPENSES

For the time period March 1, 2025 through July 31, 2025, Allen Matkins performed 9.6 hours of work and incurred \$7,819.20 in fees. The firm's bill detailing the work done is attached hereto as **Exhibit C**. The work was primarily to assist the Receiver in resolving unique issues with distribution payments, including for claimants who were deceased or incapacitated.

In terms of work in August 2025 and beyond to wrap up the Restitution Fund and obtain the Monitor's discharge, the firm estimates that \$2,500 worth of additional work will be required. The Receiver, therefore, requests approval and

1 authority to pay Allen Matkins \$7,819.20 in fees incurred through July 31, 2025, as
2 well as up to \$2,500 for work remaining to wrap up the Restitution Fund.

3 **OPEN ITEMS**

4 The following items remain open and will be handled by the Monitor prior to
5 final wrap-up of the Monitorship estate:

- 6
- Filing of the QSF tax return for 2025.
 - 7 • Payment of the Monitor's, Monitor's Counsel and the Monitor's
8 professional's (Wurtz and Stretto) unpaid fees and expenses as presented
9 herein.
 - 10 • The Monitor has approximately 10 boxes of claim forms that will be
11 shredded upon entry of this order, the cost for which is \$126.81.

12 **CONCLUSION**

13 WHEREFORE, the Monitor respectfully requests the Court to:

- 14
- Approve the Monitor's Final Accounting and Report;
 - 15 • Approve all past expenditures and payments made as reported in this
16 Monitor's Final Accounting and Report;
 - 17 • Find that the monitors have fully complied with the Court's orders entered
18 in this case and that the duties and responsibilities of the monitor have been
19 satisfactorily completed;
 - 20 • Terminate the Monitorship and discharge the Monitor from all further
21 duties and responsibilities relating to the Monitorship without further
22 liability or obligation, the Monitor being entitled to those immunities and
23 liability protections traditionally accorded to court-appointed fiduciaries
24 who have acted within the scope of the Court's delegated authorities;
 - 25 • Order that, without first obtaining leave from this Court based on a prima
26 facie showing of gross negligence or willful misconduct, all persons and
27 entities are enjoined and restrained from commencing or prosecuting any
28 action or proceeding against the monitors on account of their actions; the

monitors and their staff, employees, contractors, attorneys and professionals retained by the monitors are discharged and released from any and all claims that were or could have been asserted in connection with the monitorship estate, and, unless gross negligence or willful misconduct is first shown, shall have no personal liability of any nature for any act, omission, or matter pertaining to the monitorship;

- Find that the monitors shall not be liable to any person or entity in any manner for any actions taken or for any outstanding obligations, liabilities or debts of the parties to this action, known or unknown, including any debts, obligations or liabilities owed to the any taxing authorities, and this Court reserves exclusive jurisdiction over any claim or claims that may be asserted against the monitors or their professionals for all matters relating to the subject matter of the receivership, or that may have arisen or arise therefrom;
- Approve the payment of and the total amount of Monitor's fees and expenses incurred but not yet paid in the amount of \$43,310.00;
- Approve the payment of and the total amount of Monitor's Counsel's fees and expenses incurred but not yet paid in the amount of \$10,319.20 (\$7,819.20 through July 31, 2025, plus up to \$2,500 in fees for August 2025 forward to wrap-up the monitorship);
- Approve the Monitor's payment of the remaining funds in the Monitorship as detailed above in the Final Disposition of Funds;
- Approve that within thirty (30) days after the entry of an order granting the Monitor's discharge, the Monitor be authorized to destroy all of the documents and records; and
- Grant such other relief as the Court may find just and proper.

1 I, Krista Freitag, certify the accuracy of this Monitor's Final Accounting and
2 Report and declare under penalty of perjury pursuant to the laws of the State of
3 California that, to the best of my knowledge and belief, the information contained
4 herein is true and correct.

5 Dated: August 25, 2025

Respectfully submitted,

6 KRISTA L. FREITAG

7
8 By: 
9 KRISTA L. FREITAG
10 Substitute Monitor for
MONEX RESTITUTION FUND

11 Dated: August 25, 2025

12 ALLEN MATKINS LECK GAMBLE
13 MALLORY & NATSIS LLP

14 By: /s/Edward G. Fates

15 EDWARD G. FATES
16 Attorneys for Monitor
17 KRISTA L. FREITAG
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EXHIBIT A

10:57 AM

Monex Restitution Fund

08/19/25

Balance Sheet

Accrual Basis

As of August 19, 2025

	Aug 19, 25
ASSETS	
Current Assets	
Checking/Savings	
Western Alliance #9957	66,365.02
Total Checking/Savings	66,365.02
Total Current Assets	66,365.02
TOTAL ASSETS	66,365.02
LIABILITIES & EQUITY	
Equity	
Retained Earnings	6,715,290.73
Net Income	-6,648,925.71
Total Equity	66,365.02
TOTAL LIABILITIES & EQUITY	66,365.02

10:56 AM

08/19/25

Cash Basis

Monex Restitution Fund**Profit & Loss****As of August 31, 2025**

	Aug 31, 25
Income	
Interest Income	1,255,492.05
Restitution Funds Received	33,000,000.00
Total Income	34,255,492.05
Expense	
Computer / IT Services	1,760.00
Distribution Expenses	11,902.58
Distribution to Claimants	32,799,942.77
Office Supplies	45.00
Outside Services	191.70
Professional Fees	
Accountants	11,643.00
Claims Administrator Fees	257,599.81
Legal Fees	86,271.90
Monitor Fees	711,529.15
Total Professional Fees	1,067,043.86
Public Outreach	156,887.38
Taxes	
State	33,064.74
Taxes - Other	118,289.00
Total Taxes	151,353.74
Total Expense	34,189,127.03
Net Income	66,365.02

Krista Freitag, Substitute Monitor
501 W. Broadway, Suite 290
San Diego, CA 92101

STANDARDIZED FUND ACCOUNTING REPORT

CIVIL - MONITORSHIP RESTITUTION FUND

Case number CV12-03237

REPORTING PERIOD 3/01/2025 TO 08/22/2025

STANDARDIZED FUND ACCOUNTING REPORT for MONEX Restitution Fund - Cash Basis Krista Freitag, Substitute Monitor case number Case No: 8:17-cv-01868-JVS-DFM Judge Selna Reporting Period 03/01/2025 to 08/22/2025			
FUND ACCOUNTING (See instructions):			
	<u>Detail</u>	<u>Subtotal</u>	<u>Grand Total</u>
Line 1 Beginning Balance (As of 03/01/2025):	256,342		256,342
<i>Increases in Fund Balance:</i>			
Line 2 Business Income			-
Line 3 Cash and Securities			-
Line 4 Interest/Dividend Income	1,041		1,041
Line 5 Business Asset Liquidation			-
Line 6 Personal Asset Liquidation			-
Line 7 Third-Party Litigation Income			-
Line 8 Miscellaneous - Other			-
Total Funds Available (Lines 1 - 8):	1,041		1,041
<i>Decreases in Fund Balance:</i>			
Line 9 Disbursements to Investors	9,804		9,804
Line 10 Disbursements to Receivership Operations			
Line 10a Disbursement to Receiver or Other Professionals	147,017		147,017
Line 10b Business Asset Expenses			-
Line 10c Personal Asset Expenses			-
Line 10d Investment Expenses	-		-
Line 10e Third-Party Litigation Expenses			-
1. Attorney Fees			-
2. Litigation Expenses	-		-
Total Third-Party Litigation Expenses	-		-
Line 10f Tax Administrator Fees and Bonds	-		-
Line 10g Federal and State Tax Payments	3,189		3,189
Total Disbursements for Receivership Operations			150,206
Line 11 Disbursements for Distribution Expenses Paid by the Fund:			
Line 11a Distribution Plan Development Expenses:			
1. Fees:			
Fund Administrator.....	-		-
Independent Distribution Consultant (IDC)..	-		-
Distribution Agent.....	-		-
Consultants.....	-		-
Legal Advisors.....	-		-
Tax Advisors.....	-		-
2. Administrative Expenses	-		-
3. Miscellaneous	-		-
Total Plan Developmental Expenses			-
Line 11b Distribution Plan Implementation Expenses:			
1. Fees:			
Fund Administrator.....	-		-
IDC.....	-		-
Distribution Agent.....	20,360		20,360
Consultants.....	-		-
Legal Advisors.....	-		-
Tax Advisors.....	-		-
2. Administrative Expenses	10,648		10,648
3. Investor Identification:			-
Notice/Publishing Approved Plan.....	-		-
Claimant Identification.....	-		-
Claims Processing.....	-		-
Web Site Maintenance/Call Center.....	-		-
4. Fund Administrator Bond	-		-
5. Miscellaneous	-		-
6. Federal Account for Investor Restitution (FAIR) Reports Expenses	-		-
Total Plan Implementation Expenses	-		31,008
Total Disbursements for Distribution Expenses Paid by the Fund			31,008
Line 12 Disbursements to Court/Other:			
Line 12a Investment Expenses/Court Registry Investment System (CRIS) Fees	-		-
Line 12b Federal Tax Payments			-
Total Disbursement to Court/Other:			-
Total Funds Disbursed:			
Line 13 Ending Balance (As of 08/22/2025):			66,365

STANDARDIZED FUND ACCOUNTING REPORT for MONEX Restitution Fund - Cash Basis
Krista Freitag, Substitute Monitor case number Case No: 8:17-cv-01868-JVS-DFM Judge Selna
Reporting Period 03/01/2025 to 08/22/2025

Line 14 Ending Balance of Fund - Net Assets:			
Line 14a Cash & Cash Equivalents			66,365
Line 14b Investments			-
Line 14c Other Assets or Uncleared Funds			-
Total Ending Balance of Fund - Net Assets			66,365

OTHER SUPPLEMENTAL INFORMATION:			
	Detail	Subtotal	Grand Total
Report of Items NOT to be Paid by the Fund:			
Line 15 Disbursement for Plan Administration Expenses Not Paid by the Fund:			
Line 15a Plan Development Expenses Not Paid by the Fund:			
1. Fees:			
Fund Administrator.....	-		-
IDC.....	-		-
Distribution Agent.....	-		-
Consultants.....	-		-
Legal Advisors.....	-		-
Tax Advisors.....	-		-
2. Administrative Expenses	-		-
3. Miscellaneous	-		-
Total Plan Developmental Expenses Not Paid by the Fund			
Line 15b Plan Implementation Expenses Not Paid by the Fund			
1. Fees:			
Fund Administrator.....	-		-
IDC.....	-		-
Distribution Agent.....	-		-
Consultants.....	-		-
Legal Advisors.....	-		-
Tax Advisors.....	-		-
2. Administrative Expenses	-		-
3. Investor Identification			
Notice/Publishing Approved Plan.....	-		-
Claimant Identification.....	-		-
Claims Processing.....	-		-
Web Site Maintenance/Call Center.....	-		-
4. Fund Administrator Bond	-		-
5. Miscellaneous	-		-
6. FAIR Reporting Expenses	-		-
Total Plan Implementation Expenses Not Paid by the Fund			
Line 15c Tax Administrator Fees & Bonds Not Paid by the Fund			
Total Disbursements for Plan Administration Expenses Not Paid by the Fund			
Line 16 Disbursements to Court/Other Not Paid by the Fund:			
Line 16a Investment Expenses/CRIS Fees			
	-		-
Line 16b Federal Tax Payments			
	-		-
Total Disbursement to Court/Other Not Paid by the Fund:			
Line 17 DC & State Tax Payments			
	-		-
Line 18 No. of Claims:			
Line 18a # of Claims Received This Reporting Period.....			
	0		
Line 18b # of Claims Received Since Inception of Fund.....			
	5,427	(INCLUDED 601 DUPLICATE CLAIMS)	
Line 19 No. of Claimants/Investors:			
Line 19a # of Claimants/Investors Paid this Reporting Period.....			
	12		
Line 19b # of Claimants/Investors Paid Since Inception of Fund.....			
	4,753		

By:

Krista L. Freitag
New Substitute Monitor for MONEX Restitution Fund

Date:

August 25, 2025

EXHIBIT B



E3 Realty Advisors
501 W Broadway Ste 290
San Diego, CA 92101

August 20, 2025

Invoice Number: 161

Invoice Period: 03-01-2025 - 08-31-2025

Contact: Freitag, Krista

Fees	60,610.50
Expenses	84.79
Adjustment	(17,385.29)
Total for this Invoice	43,310.00

E3 Realty Advisors
501 W Broadway Ste 290
San Diego, CA 92101
619-567-7223
www.ethreadvisors.com

August 20, 2025

Invoice Number: 161

Invoice Period: 03-01-2025 - 08-31-2025

Payment Terms: Upon Receipt

RE: 425 - Monex Deposit Company, et al

Time Details

Date	Professional	Description	Hours	Rate	Amount
<u>Monex - Accounting and Reporting</u>					
03-14-2025	A. Juroe	Completed reconciliation on bank ledger for preparing JE for distribution. (3.1) Made separate tabs for each tranche of payments. (1.0)	4.10	295.00	1,209.50
03-14-2025	L. Ryan	Corresponded re returned check. (.1) Researched check clearing. (.2)	0.30	180.00	54.00
03-20-2025	A. Juroe	Downloaded bank statement and reconciled Feb. (1.0) Made JE's for distribution payments and created log with all details; reconciled to cash. (2.0) Prepared YE financials and sent to K. Freitag for approval. (.4)	3.40	295.00	1,003.00
03-24-2025	L. Ryan	Corresponded multiple times with Western Alliance re bank statements and access to same. (.3)	0.30	180.00	54.00
03-26-2025	A. Juroe	Reviewed K. Freitag response to financial review and ran revised reports; uploaded and emailed to Wertz. (.7) Follow up message from Stephen received confirming receipt. (.1)	0.80	295.00	236.00
03-27-2025	A. Juroe	Ran reports requested by K. Freitag. (.2)	0.20	295.00	59.00
03-28-2025	A. Juroe	Ran and emailed financial reports requested by K. Freitag. (.2)	0.20	295.00	59.00
04-04-2025	A. Juroe	Messaged with K. Freitag re report and info needed for same. (.1) Requested reports and statements from Stretto. (.1) Received and reviewed updated report; verified numbers. (.2) Reviewed statements and worked out Feb figure. (.2) Messaged L. Ryan re A/P. (.1) Reviewed report from K. Freitag and sent questions. (.2)	0.90	295.00	265.50
04-07-2025	A. Juroe	Messaged with L. Ryan re bill for Wertz to be paid. (.1)	0.10	295.00	29.50
04-07-2025	L. Ryan	Corresponded re payable. (.1)	0.10	180.00	18.00
04-08-2025	A. Juroe	Emailed and conferred with Stretto re bank statements. (.3) Downloaded and reconciled March. (.6) Exported ledger and global distribution reports; calculated figures needed for report. (2.6) Sent outstanding check amounts to K. Freitag for report. (.1) Messaged with Wertz re tax filings. (.2)	3.80	295.00	1,121.00
04-09-2025	A. Juroe	Reviewed emails from Wertz and K. Freitag re tax returns. (.2) Reviewed returns. (.2)	0.40	295.00	118.00
04-10-2025	A. Juroe	Reviewed correspondence from K. Freitag and Wertz re tax returns. (.1)	0.10	295.00	29.50
04-15-2025	A. Juroe	Prepared March financials and sent to K. Freitag. (.2)	0.20	295.00	59.00
04-16-2025	A. Juroe	Conferred with K. Freitag re reconciling book vs bank balances; emailed re: same. (.2)	0.20	295.00	59.00
04-25-2025	A. Juroe	Reviewed Wertz bill and sent to L. Ryan for payment. (.5)	0.50	295.00	147.50

Invoice Number: 161

Page 2 of 13

Date	Professional	Description	Hours	Rate	Amount
<u>Monex - Accounting and Reporting</u>					
		2) Followed up with Wertz on final estimate for 2025 tax return; sent details to K. Freitag. (.3)			
05-01-2025	A. Juroe	Downloaded bank statement, reconciled account and made new entries. (.4)	0.40	295.00	118.00
05-06-2025	A. Juroe	Emailed with Wertz re status on IRS prompt assessment. (.2)	0.20	295.00	59.00
05-08-2025	L. Ryan	Initiated wires. (.2)	0.20	180.00	36.00
05-13-2025	A. Juroe	Prepared and exported cash flow and general ledger. (.4) Reconciled distribution payments and worked to tie out the cash balance in the distribution account; updated the totals for final distribution numbers. (1.5)	1.90	295.00	560.50
05-15-2025	A. Juroe	Reviewed report from Jason. (.4) Emailed Stretto re distribution completed and requested transfer of funds to operating account; followed up with K. Freitag re same. (.2) Prepared wire form, saved down and emailed K. Freitag. (.3) Received confirmation that transfer completed. (.1)	1.00	295.00	295.00
06-04-2025	A. Juroe	Reviewed bill from FTB. (.1) Researched past payments and correspondence re: same. (.2) Followed up with K. Freitag re: same and received direction on payment. (.2)	0.50	295.00	147.50
06-05-2025	A. Juroe	Conferred with K. Freitag re: finalizing case, preparing folder with all final distribution details, etc.; completed same. (1.3)	1.30	295.00	383.50
06-12-2025	A. Juroe	Followed up with A. Herren re: FTB payment; advised K. Freitag re: same. (.2)	0.20	295.00	59.00
06-13-2025	A. Juroe	Received confirmation on payment to FTB. (.1)	0.10	295.00	29.50
06-24-2025	A. Juroe	Reviewed emails related to taxes; sent follow up to Wertz and received response. (.2) Messaged K. Freitag re: same. (.1)	0.30	295.00	88.50
06-25-2025	A. Juroe	Conferred with K. Freitag re: case closing tasks, and process. (.5) Messaged Stretto and Allen Matkins re: final fees and closing matters. (.3) Reconciled accounts and made final entries. (1.1) Updated trackers and saved down reports to folder needed for final accounting report and reviewed holdback worksheet. (.7) Emailed A. Herren for updated quote on destruction of documents. (.1)	2.70	295.00	796.50
07-08-2025	L. Ryan	Conferred with A. Herren re: FTB online payment. (.2)	0.20	180.00	36.00
07-24-2025	A. Juroe	Gathered the final fee estimates for Stretto, and other vendors. (.5) Completed the final entries and bank account reconciliation. (.5) Prepared financials for FAR and updated reporting worksheets. (.8) Worked on draft FAR (4.1) Conferred with K. Freitag re: logistics for reporting final payments, etc. (.2)	6.10	295.00	1,799.50
07-25-2025	A. Juroe	Completed revisions to draft FAR. Sent to K. Freitag to review. (2.6)	2.60	295.00	767.00
08-06-2025	A. Juroe	Reviewed bill from Wertz with K. Freitag and sent to A. Herren for payment. (.2)	0.20	295.00	59.00
08-08-2025	A. Juroe	Corresponded with A. Herren re: Wertz payment. (.1)	0.10	295.00	29.50
08-15-2025	A. Juroe	Downloaded bank statements and reconciled account; completed QBs entries. (.5) Worked on edits to the FAR and Final Fee App. Corresponded with Wertz re: final fees and taxes. (.2) Updated tracking reports and revised FAR. Sent drafts to K. Freitag. (4.0)	4.70	295.00	1,386.50
08-18-2025	A. Juroe	Correspond with K. Freitag re: taxes, followed up with Wertz re: same; sent bill to A. Herren for payment. (.2) Prepared GL and saved down, emailed to K. Freitag. (.1) Corresponded with A. Herren and K. Freitag re:	0.40	295.00	118.00

Date	Professional	Description	Hours	Rate	Amount
<u>Monex - Accounting and Reporting</u>					
08-19-2025	A. Juroe	final fees. (.1) Updated QBs and prepared new reports per direction from K. Freitag. (.5) Reviewed and updated banking folder, downloaded statements from TrustWorks and messaged K. Freitag re same. (.2)	0.70	295.00	206.50
			39.40		11,496.50
<u>Monex - Investor Relations</u>					
03-03-2025	A. Juroe	Messaged Stretto and requested GL and new outstanding check reports. (.1) Followed up re: claimant returned wire. (.3) Reviewed reissue report and conferred with I. Echternach re: questions on same. (.7) Reviewed documentation and calls with claimants. (.8) Worked on report to identify those needing to be contacted via phone. (.7)	2.60	295.00	767.00
03-04-2025	A. Juroe	Stopped payment and reissued distribution checks; updated tracking worksheet. (1.4) Met with team re: transition of claimant communications. (.5) Prepared and sent list of 10k+ checks to K. Freitag for follow up with Monex. (.1) Reviewed language from K. Freitag for email blast; sent to Kevin with instructions. (.5)	2.50	295.00	737.50
03-04-2025	A. Herren	Attended teams call re: transition of claimant communications. (.5) Updated investor contact information for uncashed checks. (.5) Worked on updating uncashed checks tracking sheet; updated in TrustWorks. (1.5)	2.50	195.00	487.50
03-05-2025	A. Herren	Researched contact information for returned distribution checks; updated tracking sheet; corresponded with A. Juroe re: same. (.8) Corresponded with G. Rodriguez re investor email error. (.1) Researched distribution status; updated tracking sheet; corresponded with investors re: same. (3.1)	4.00	195.00	780.00
03-05-2025	A. Juroe	Emails and calls with A. Herren, Stretto and claimants re: reissues, uncleared checks, etc. (.7)	0.70	295.00	206.50
03-06-2025	A. Herren	Conferred and corresponded with multiple investors re uncashed checks; updated tracking sheet and TrustWorks. (1.0)	1.00	195.00	195.00
03-07-2025	A. Herren	Conferred and corresponded with multiple investors re uncashed checks; updated tracking sheet and TrustWorks; corresponded with K. Freitag and A. Juroe re: same. (5.0)	5.00	195.00	975.00
03-07-2025	A. Juroe	Reviewed reissue worksheet, and messages and calls with claimants needing clarification. (.8) Stopped and reissued checks; emailed Jason re: same and requested he process and send to K. Freitag for approval. (.7)	1.50	295.00	442.50
03-10-2025	A. Juroe	Reviewed messages from K. Freitag and A. Herren re: processing reissue payments. (.2) Reviewed worksheet and provided details needed to K. Freitag for approval. (.5)	0.70	295.00	206.50
03-10-2025	A. Herren	Researched contact information for returned checks; forwarded same. (.5) Conferred and corresponded with multiple investors re uncashed checks; updated tracking sheet and TrustWorks. (1.5)	2.00	195.00	390.00
03-11-2025	A. Herren	Reviewed correspondence re: uncashed checks; updated tracking spreadsheet and Trustworks; corresponded with investors, K. Freitag and A. Juroe re same. (1.6)	1.60	195.00	312.00
03-11-2025	A. Juroe	Corresponded with K. Freitag re: checks to be released. (.4) Reviewed worksheet for reissues. (.3)	0.80	295.00	236.00

Date	Professional	Description	Hours	Rate	Amount
<u>Monex - Investor Relations</u>					
		Followed up with A. Herren re: wire instructions needed. (.1)			
03-12-2025	A. Herren	Conferred with investor re: wire instructions; corresponded with A. Juroe re same. (.2) Reviewed correspondence re: uncashed checks; updated tracking spreadsheet and Trustworks and corresponded with investors re: same. (1.4)	1.60	195.00	312.00
03-12-2025	A. Juroe	Reviewed messages from Stretto and claimants; worked through reissue requests; conferred and corresponded with bank and claimants re same; corresponded with K. Freitag and A. Herren re: same. (1.9)	1.90	295.00	560.50
03-13-2025	A. Herren	Conferred with K. Freitag and A. Juroe on Monex status, distribution reissues and individual claimant issues needing follow up. (.9) Conferred with investor re: distribution and company information. (.4)	1.30	195.00	253.50
03-13-2025	A. Juroe	Conferred with K. Freitag and A. Herren on Monex status, distribution reissues and individual claimant issues needing follow up. (.9) Exported updated outstanding check report and sorted for those that we need phone numbers from Monex; emailed to K. Freitag for follow up with Monex. (.7) Processed stop payments and reissued checks; corresponded Stretto re: same. (1.3)	2.90	295.00	855.50
03-14-2025	A. Juroe	Corresponded with L. Ryan and A. Herren re: claimant inquires on distribution payments. (.3) Conferred with claimants re: checks sent. (.6) Reviewed message from Stretto re: status on reissue checks. (.2)	1.10	295.00	324.50
03-17-2025	A. Juroe	Calls and emails with claimants re: reissue payments. (.5)	0.50	295.00	147.50
03-17-2025	A. Herren	Conferred and corresponded with multiple investors re distribution and wire instructions; updated same. (.5)	0.50	195.00	97.50
03-18-2025	A. Herren	Conferred and corresponded with multiple investors re uncashed checks; updated tracking sheet and TrustWorks re same. (2.0)	2.00	195.00	390.00
03-18-2025	A. Juroe	Messaged with A. Herren and K. Freitag, and Stretto re: claimant communications, reissues, wires, mailing checks; looked up and document same. (1.6) Exported current claims register and reconciled. (1.1) Reviewed message from K. Freitag with Monex correspondence, looked up details and responded to A. Herren re: next steps. (.4) Made TEST PayPal payment to claimant and followed up with A. Herren and K. Freitag re same. (.2)	3.30	295.00	973.50
03-19-2025	A. Juroe	Conferred with K. Freitag re: reissued checks, bank credits, etc. (.2) Added items to reissue report, conferred with Stretto re: banking issues. (1.1) Conferred with claimants re: check issues, reviewed documentation. (.7)	2.00	295.00	590.00
03-19-2025	A. Herren	Conferred and corresponded with multiple investors re uncashed checks; updated tracking sheet and TrustWorks re same. (2.5)	2.50	195.00	487.50
03-20-2025	A. Herren	Conferred and corresponded with multiple investors re uncashed checks; updated tracking sheet and TrustWorks re same. (1.5) Conferred with A. Juroe re: claimant communication and updated worksheet. (.1)	1.60	195.00	312.00
03-20-2025	A. Juroe	Followed up with claimant communication and update worksheet. (.3) Conferred with A. Herren re: same. (.1) Messaged with Stretto re: banking issues. (.2)	0.60	295.00	177.00
03-21-2025	A. Juroe	Prepared wire forms for two claimants, sent to K.	2.00	295.00	590.00

Date	Professional	Description	Hours	Rate	Amount
<u>Monex - Investor Relations</u>					
03-21-2025	A. Herren	Freitag for processing. (.6) Reviewed reissue report and stopped payments; reviewed claimant documents; reissued checks. (1.1) Corresponded with K. Freitag and A. Herren re: check issue for divorced claimants, stopped payment as instructed. (.3)	2.20	195.00	429.00
03-24-2025	A. Herren	Conferred and corresponded with multiple investors re uncashed checks; updated tracking sheet and TrustWorks re same. (2.0) Corresponded with K. Freitag and A. Juroe re same. (.2)	2.00	195.00	390.00
03-24-2025	A. Juroe	Reviewed new claimant documents and communicated with same re: additional info needed; corresponded with K. Freitag re same. (1.2) Stopped payments, reissued checks, updated wire forms. (1.5) Followed up with Stretto re: bank and check questions. (.2) Messaged with Stretto and team re: access to bank statements for distribution account; attempted to email same. (.6)	3.50	295.00	1,032.50
03-25-2025	A. Juroe	Messaged with claimants re: checks and wires. (.5) Followed up with K. Freitag and A. Herren re: same. (.2) Stopped and reissued payments. (.7) Reviewed message from Stretto with check copies for approval. (.3)	1.70	295.00	501.50
03-25-2025	A. Herren	Conferred and corresponded with multiple investors re uncashed checks; updated tracking sheet and TrustWorks re same. (1.0)	1.00	195.00	195.00
03-26-2025	A. Juroe	Reviewed correspondence from claimants, A. Herren and K. Freitag re: reissues; stopped payments and reissued checks; updated worksheet; researched claimant details. (2.7) Conferred with K. Freitag re: specific claimant issues, wires confirmed, PayPal and checks approved; received direction for next steps. (1.0) Corresponded with A. Herren re: setting call to review action items. (.1)	3.80	295.00	1,121.00
03-27-2025	A. Herren	Conferred with A. Juroe re: distribution check status and investor communication. (.6) Conferred and corresponded with multiple investors re uncashed checks; updated tracking sheet and TrustWorks re same. (.8)	1.40	195.00	273.00
03-27-2025	A. Juroe	Conferred and correspond with claimants, Stretto, and A. Herren re: reissues and title changes needed. (1.1) Updated tracking reports. (.4) Exported and analyzed list of outstanding items from TrustWorks; compared and updated with details from current report; prepared list of action items needed in TrustWorks. (2.8) Processed PayPal payment and recorded same. (.3)	4.60	295.00	1,357.00
03-28-2025	A. Juroe	Conferred and corresponded with A. Herren and claimants re distribution issues; requested and reviewed documents. (2.1) Stopped payments, reissued, updated reports and tracker. (.7) Messaged with A. Herren re cleared checks; reviewed statement and exported new check list; emailed Stretto re statements needed and reviewed same; started to reconcile. (1.8) Prepared draft response to DP and sent to K. Freitag to review and comment. (1.0)	5.60	295.00	1,652.00
03-28-2025	A. Herren	Conferred and corresponded with multiple investors re uncashed checks; updated tracking sheet and TrustWorks re same; conferred and corresponded with A. Juroe re same. (3.7)	3.70	195.00	721.50
			1.20		354.00

Date	Professional	Description	Hours	Rate	Amount
<u>Monex - Investor Relations</u>					
03-30-2025	A. Juroe	Completed reconciliation of cleared distribution checks. (.6) Updated cleared checks as paid in TrustWorks. (.3) Created new outstanding check list with stale dates. (.3)		295.00	
03-31-2025	A. Herren	Conferred and corresponded with multiple investors re uncashed checks; updated tracking sheet and TrustWorks re same. (.2)	0.20	195.00	39.00
04-01-2025	A. Juroe	Reviewed messages from K. Freitag. (.2) Prepared and sent message to claimant. (.2) Followed up with messages from Stretto re cleared checks. (.4) Reviewed K. Freitag authorization on check releases. (.4) Stopped payments, reviewed reissue documents, reissued checks and updated worksheet. (2.5)	3.70	295.00	1,091.50
04-02-2025	A. Juroe	Reviewed claimant correspondence; messaged K. Freitag and A. Herren re same. (.6)	0.60	295.00	177.00
04-02-2025	A. Herren	Conferred and corresponded with multiple investors re: reissue of checks; corresponded with A. Juroe re: same. (1.0)	1.00	195.00	195.00
04-03-2025	A. Herren	Conferred and corresponded with multiple investors re uncashed checks; updated tracking sheet and TrustWorks re same. (.8)	0.80	195.00	156.00
04-03-2025	A. Juroe	Messaged and called claimants re reissue, tax treatment, etc. (.3) Conferred with K. Freitag re update. (.2)	0.50	295.00	147.50
04-04-2025	A. Juroe	Reviewed messages from Stretto. (.2) Stopped and reissued check. (.3) Updated report and sent request to Stretto re checks ready to be printed. (1.0)	1.50	295.00	442.50
04-07-2025	A. Herren	Conferred and corresponded with multiple investors re uncashed checks; updated tracking sheet and TrustWorks re same; conferred with A. Juroe re: same. (1.4)	1.40	195.00	273.00
04-07-2025	A. Juroe	Reviewed communications from claimants and A. Herren and responded to same. (1.4) Updated reissue tracking report, stopped payments and reissued. (1.1) Messaged with Stretto with check issues and banking questions. (.7) Drafted updated notice for claimants with uncashed checks; emailed K. Freitag for approval, along with numbers of claimants to receive notice. (.9)	4.10	295.00	1,209.50
04-08-2025	A. Juroe	Communicated with claimants, Alica and Stretto re: distribution payments. (.3) Reviewed claimant documentation, updated reports, etc. (1.0)	1.30	295.00	383.50
04-08-2025	A. Herren	Conferred and corresponded with multiple investors re uncashed checks; updated tracking sheet and TrustWorks re same. (6.8)	6.80	195.00	1,326.00
04-09-2025	A. Herren	Conferred and corresponded with multiple investors re uncashed checks; updated tracking sheet and TrustWorks re same. (.4)	0.40	195.00	78.00
04-09-2025	A. Juroe	Reviewed and responded to multiple messages from Stretto re check reissue questions, VOID info needed, and other bank issues. (.7) Updated reissue tracker; stopped and reissued checks; entered wire details into TrustWorks and prepared wires forms. (1.8) Messaged K. Freitag and Stretto re wires and check payments ready to process. (.3) Reviewed response from K. Wasserman re: claims portal; followed up with A. Herren re same. (.2)	3.00	295.00	885.00
04-10-2025	A. Juroe	Corresponded with claimants re checks and payment deadlines; messaged with Stretto and A. Herren re: claim not found, checks reissued, final payments to go	1.40	295.00	413.00

Date	Professional	Description	Hours	Rate	Amount
<u>Monex - Investor Relations</u>					
		out, etc. (1.4)			
04-10-2025	A. Herren	Conferred and corresponded with multiple investors re uncashed checks; updated tracking sheet and TrustWorks re same. (.6) Doubled check uncashed checks. (1.0)	1.60	195.00	312.00
04-11-2025	A. Juroe	Conferred with K. Freitag re payments. (.4) Followed up with K. Freitag and A. Herren re reissues, title changes, communication to claimants with outstanding checks. (.7) Messaged with Stretto re: email to send. (.2) Conferred with claimants re checks not cleared; stopped payments, reissued, updated and prepared wire forms; updated reports and trackers. (3.4)	4.70	295.00	1,386.50
04-13-2025	A. Juroe	Stopped payment and reissued checks, messages with Stretto and claimants re same. (1.5)	1.50	295.00	442.50
04-14-2025	A. Herren	Conferred and corresponded with multiple investors re uncashed checks. (.6)	0.60	195.00	117.00
04-14-2025	A. Juroe	Reviewed wire confirmations. (.1) Messaged with K. Freitag, A. Herren and Stretto re check reissues. (.7) Conferred with claimants re check status and deadline to negotiate new check; received new address for check just mailed; stopped and reissued same; emailed Stretto to process asap. (1.1) Updated tracking report with mail dates, etc. (.3)	2.20	295.00	649.00
04-15-2025	A. Juroe	Exported claims register and outstanding check reports. (.4) Updated and reconciled both. (2.4) Corresponded with Stretto and K. Freitag re same. (.2)	3.00	295.00	885.00
04-16-2025	A. Juroe	Reviewed bank transactions; messaged with Stretto re same, status on checks clearing, etc. (.4) Reviewed documents from BN and messaged K. Freitag re same. (.2) Conferred with A. Herren re: claimants with payment issues. (.1) Followed up with Stretto re: returned check, check fee. (.2)	0.90	295.00	265.50
04-16-2025	A. Herren	Conferred and corresponded with multiple investors re uncashed checks. (3.5) Conferred with A. Juroe re: claimants with payment issues. (.1)	3.60	195.00	702.00
04-17-2025	A. Juroe	Reviewed correspondence from team and reissued checks. (.3)	0.30	295.00	88.50
04-18-2025	A. Juroe	Followed up with A. Herren re: returned and reissued checks; processed checks and messaged Stretto re same. (.4)	0.40	295.00	118.00
04-21-2025	A. Juroe	Corresponded with A. Herren and K. Freitag re: wire. (.1) Stopped payment and prepared new form; sent to K. Freitag for processing. (.2)	0.30	295.00	88.50
04-23-2025	A. Herren	Conferred with investor re distribution. (.2)	0.20	195.00	39.00
04-23-2025	A. Juroe	Corresponded with K. Freitag and A. Herren re wire. (.1) Conferred with K. Freitag re wire and other distribution items. (.1) Emailed investor re: info needed to process payment. (.1) Prepared wire form and saved down for K. Freitag to process; reviewed emails verifying same. (.4)	0.70	295.00	206.50
04-30-2025	A. Juroe	Reviewed and responded to claimant inquiries on distribution checks. (.2)	0.20	295.00	59.00
05-01-2025	A. Juroe	Reviewed messages from claimants, A. Herren and message to K. Freitag re distribution status. (.2) Reviewed cleared items and made updates in TrustWorks. (.3) Reviewed bank and book balance. (.2)	0.70	295.00	206.50
05-02-2025	A. Juroe	Reviewed bank activity, cleared checks. (.2)	0.20	295.00	59.00
05-05-2025	A. Juroe	Reviewed bank activity and checks cleared to date. (.1)	1.80	295.00	531.00

Date	Professional	Description	Hours	Rate	Amount
<u>Monex - Investor Relations</u>					
		4) Ran updated outstanding check report and reconciled to account. (.6) Made notes on claimants that have requested reissues, communicated recently, etc. (.6) Conferred with K. Freitag re winding down case and received direction on final payments. (.2)			
05-06-2025	A. Herren	Conferred with multiple investors re distribution check; corresponded with A. Juroe re same. (1.0)	1.00	195.00	195.00
05-06-2025	A. Juroe	Reviewed correspondence from claimants. (.6) Called and emailed claimants re: deadline for checks, wires, etc.; messaged with A. Herren re: same. (1.3) Reviewed bank activity and updated uncashed check report. (.4)	2.30	295.00	678.50
05-07-2025	A. Juroe	Reviewed bank transactions and update uncashed check report. (.6) Reviewed emails and followed up with claimants re: checks and wire info needed. (1.2)	1.80	295.00	531.00
05-08-2025	A. Juroe	Reviewed daily transactions and updated uncashed check report. (.7) Conferred with claimants, re: status of payments; stopped payments and prepared wire forms. (1.3) Followed up with A. Herren re claimant communications. (.1) Corresponded with claimants to verify wire instructions and other personal info needed. (1.0) Updated reissue worksheet and prepared wire forms. (1.0) Conferred with K. Freitag re wire approvals and closing status. (.5)	4.60	295.00	1,357.00
05-08-2025	A. Herren	Conferred with investor re wire instructions for distribution reissue; corresponded with A. Juroe re: same. (.5)	0.50	195.00	97.50
05-13-2025	A. Juroe	Prepared and exported claims registers, and reconciled same. (1.7) Processed stop payments and updated trackers. (.9)	2.60	295.00	767.00
05-14-2025	A. Juroe	Corresponded with claimant re stopped check, verified wire instructions. (.2) Messaged K. Freitag re wire. (.1) Updated payment details in TrustWorks and prepared wire form, saved down and sent to K. Freitag for processing. (.7) Reviewed stop payment report. (.2) Received new ledger report and details requested from Stretto; reviewed report and changes in TrustWorks. (.8)	2.00	295.00	590.00
05-19-2025	A. Herren	Downloaded investor cleared check; conferred and corresponded with investor re same. (.2)	0.20	195.00	39.00
05-22-2025	A. Juroe	Prepared draft update for the website and sent to K. Freitag for comments. (.7)	0.70	295.00	206.50
05-23-2025	A. Juroe	Reviewed final draft for website update; sent to Kevin at Stretto for posting; followed up with Kevin re: same. (.7)	0.70	295.00	206.50
05-23-2025	A. Herren	Corresponded with A. Juroe re: checks returned undeliverable; researched same. (.2)	0.20	195.00	39.00
06-04-2025	A. Juroe	Revised report with TN victims, sent to K. Freitag for review. (1.4)	1.40	295.00	413.00
06-05-2025	A. Juroe	Revised TN victims report again and sent to K. Freitag for review; call with K. Freitag re: revisions to same; completed and sent final report. (1.1)	1.10	295.00	324.50
06-20-2025	A. Juroe	Reviewed emails from claimants, and Stretto (.2) Prepared report requested by MM for bank related to loss; emailed same. (.5)	0.70	295.00	206.50
07-08-2025	A. Herren	Conferred with A. Juroe re: claimant correspondence. (.1)	0.10	195.00	19.50
07-08-2025	A. Juroe	Conferred with A. Herren re: claimant correspondence. (.1)	0.10	295.00	29.50
			0.80	195.00	156.00

Date	Professional	Description	Hours	Rate	Amount
<u>Monex - Investor Relations</u>					
07-18-2025	A. Herren	Researched multiple claimant distributions; corresponded with claimants re: same. (.8)			
07-23-2025	A. Juroe	Looked up claimant info and emailed A. Herren re: final payment to be made, and info needed from claimant. (.2)	0.20	295.00	59.00
07-24-2025	A. Juroe	Received confirmation from A. Herren re: payment details confirmed for final pending distribution payment; conferred with K. Freitag re: same. (.1) Reviewed message re: reissue request and deferred to K. Freitag. (.1)	0.20	295.00	59.00
07-24-2025	A. Herren	Reviewed investor records re: distribution reissue to beneficiaries; conferred with investor and corresponded with A. Juroe re: same. (.4) Researched distribution status; corresponded with A. Juroe re: same. (.2)	0.60	195.00	117.00
07-25-2025	A. Herren	Researched claimant distributions. (.2)	0.20	195.00	39.00
07-28-2025	A. Herren	Processed distribution reissue to beneficiaries; corresponded with K. Freitag re: same. (.6)	0.60	195.00	117.00
07-28-2025	A. Juroe	Conferred with K. Freitag (.1) and A. Herren re: final checks and correspondence with claimant. (.1)	0.20	295.00	59.00
07-29-2025	A. Juroe	Conferred with K. Freitag and A. Herren re: follow up on correspondence with claimant. (.2)	0.20	295.00	59.00
07-29-2025	A. Herren	Corresponded with claimant and A. Juroe re: distribution reissue. (.2)	0.20	195.00	39.00
07-30-2025	A. Herren	Followed up with claimant re: distribution reissue; corresponded with A. Juroe re: same. (.3)	0.30	195.00	58.50
07-30-2025	A. Juroe	Followed up with A. Herren re: claimant response to payment. (.1)	0.10	295.00	29.50
07-31-2025	A. Herren	Corresponded with claimant re: reissued distribution check; corresponded with K. Freitag re approval for same. (.2)	0.20	195.00	39.00
08-04-2025	A. Herren	Shipped reissued distribution checks; corresponded with claimant re: same. (.2)	0.20	195.00	39.00
08-06-2025	A. Herren	Looked up status of cashing reissued distribution checks; corresponded with K. Freitag and A. Juroe re: same. (.1)	0.10	195.00	19.50
08-07-2025	A. Herren	Followed up on status of reissued distribution check cashing; corresponded with K. Freitag and A. Juroe re: same. (.1)	0.10	195.00	19.50
08-08-2025	A. Herren	Researched investor claim and distribution; corresponded with A. Juroe re same. (.2)	0.20	195.00	39.00
08-08-2025	A. Juroe	Corresponded with A. Herren re: investor inquiry, looked up loss and responded to same. (.1)	0.10	295.00	29.50
08-12-2025	A. Herren	Conferred with multiple investors re: claim and distribution. (.3)	0.30	195.00	58.50
08-12-2025	A. Juroe	Reviewed response from A. Herren re: investor that was seeking payment but had not filed a claim. (.1)	0.10	295.00	29.50
08-13-2025	A. Herren	Researched distribution check; corresponded with claimant re same. (.2)	0.20	195.00	39.00
08-14-2025	A. Herren	Corresponded with claimant and L. Ryan re: distribution check research. (.2)	0.20	195.00	39.00
08-14-2025	L. Ryan	Corresponded with Western Alliance and A. Herren re: claimant distribution check research. (.3)	0.30	195.00	58.50
			154.60		39,707.00
<u>Monex - Monitor</u>					
03-04-2025	K. Freitag	Conferred with team re: eblast for outstanding checks and transition of communications. (1.1)	1.10	405.00	445.50
			0.40	405.00	162.00

Date	Professional	Description	Hours	Rate	Amount
<u>Monex - Monitor</u>					
03-11-2025	K. Freitag	Reviewed replacement checks for release; conferred with A. Juroe re: same. (.4)			
03-12-2025	K. Freitag	Conferred with A. Juroe re: wires needed, remaining open items, etc. (.1)	0.10	405.00	40.50
03-13-2025	K. Freitag	Attended call with A. Juroe and A. Herren re: remaining open items, etc. (.9)	0.90	405.00	364.50
03-19-2025	K. Freitag	Reviewed replacement checks for release. (.5)	0.50	405.00	202.50
03-25-2025	K. Freitag	Reviewed two wires for payment reissue. (.2) Attended call with A. Juroe re: distribution status items. (.5)	0.70	405.00	283.50
03-26-2025	K. Freitag	Attended call with A. Juroe re: customer documentation review (Walnut), distribution action items.(.5) Reviewed documentation re: distribution status. (2.1)	2.60	405.00	1,053.00
03-31-2025	K. Freitag	Reviewed and provided comments for customer claimant communication. (.1)	0.10	405.00	40.50
04-01-2025	K. Freitag	Reviewed replacement checks for release. (.3)	0.30	405.00	121.50
04-03-2025	K. Freitag	Conferred with A. Juroe re: update. (.2)	0.20	405.00	81.00
04-05-2025	K. Freitag	Reviewed replacement checks for release. (.3)	0.30	405.00	121.50
04-07-2025	K. Freitag	Conferred with A. Juroe re: distribution payment status. (.3)	0.30	405.00	121.50
04-11-2025	K. Freitag	Reviewed replacement checks and wires for release. (1.5)	1.50	405.00	607.50
04-12-2025	K. Freitag	Reviewed wires for release. (.2)	0.20	405.00	81.00
04-14-2025	K. Freitag	Confirmed wire instructions with bank. (.1) Reviewed claim detail with Atty Fates; conferred with A. Juroe re: same. (.2) Reviewed batch of reissues with 4/30 stale date. (.8) Signed and sent tax returns via USPS. (.2)	1.30	405.00	526.50
04-15-2025	K. Freitag	Conferred with A. Juroe re: stop notices. (.1)	0.10	405.00	40.50
04-17-2025	K. Freitag	Reviewed two major claim documents; conferred re: same. (1.0)	1.00	405.00	405.00
04-21-2025	K. Freitag	Approved reissue and reviewed affidavit re: reissue directive. (.3) Reviewed and approved wire reissue. (.3)	0.60	405.00	243.00
04-22-2025	K. Freitag	Signed and sent request for prompt assessment via USPS. (.2)	0.20	405.00	81.00
04-23-2025	K. Freitag	Reviewed and approved feedback from Monex and follow-up wire request; sent same to bank. (.3)	0.30	405.00	121.50
05-05-2025	K. Freitag	Conferred with A. Juroe re: payment status. (.5)	0.50	405.00	202.50
05-08-2025	K. Freitag	Reviewed and approved three replacement check wires. (.4) Conferred with A. Juroe re: wrap-up action items and stop payments. (.5)	0.90	405.00	364.50
05-09-2025	K. Freitag	Approved wires with bank. (.1)	0.10	405.00	40.50
05-14-2025	K. Freitag	Reviewed and approved wire with bank. (.2)	0.20	405.00	81.00
05-15-2025	K. Freitag	Reviewed and transferred remaining funds back to monitorship account. (.2)	0.20	405.00	81.00
05-23-2025	K. Freitag	Revised website update and coordinated posting of same. (.3)	0.30	405.00	121.50
06-04-2025	K. Freitag	Reviewed TN request and coordinated review. (.2)	0.20	405.00	81.00
06-05-2025	K. Freitag	Reviewed TN data; conferred with A. Juroe re: same to apply auto cross-comparisons. (1.0) Updated comparative analysis. (.5)	1.50	405.00	607.50
06-25-2025	K. Freitag	Attended call with A. Juroe re: final wrap-up items needed - bank recs, final payment to customer claimant, final estimates/bills, etc. (.5)	0.50	405.00	202.50
07-31-2025	K. Freitag	Revised and completed final accounting and related	2.70	405.00	1,093.50

Date	Professional	Description	Hours	Rate	Amount
<u>Monex - Monitor</u>					
		documents. (.2.7)	19.80		8,019.00
<u>Monex - Monitorship Administration</u>					
03-04-2025	G. Rodriguez	Transitioned email accounts and phone messages and deleted access to Dropbox data for employee. (.3)	0.30	112.50	33.75
03-05-2025	G. Rodriguez	Minor troubleshooting for project email. (.2)	0.20	112.50	22.50
04-10-2025	A. Herren	Processed check run. (.2)	0.20	112.50	22.50
04-14-2025	A. Juroe	Conferred with K. Freitag re check reissues, report details, next steps and final tasks. (.3)	0.30	295.00	88.50
04-25-2025	A. Herren	Processed check run. (.2)	0.20	112.50	22.50
04-28-2025	A. Herren	Mailed AP check. (.1)	0.10	112.50	11.25
04-28-2025	T. Hebrank	Reviewed and signed check run. (.1)	0.10	405.00	40.50
06-13-2025	A. Herren	Processed FTB payment; corresponded with A. Juroe re same. (.2)	0.20	112.50	22.50
06-16-2025	A. Herren	Mailed FTB check. (.1)	0.10	112.50	11.25
06-16-2025	T. Hebrank	Reviewed and signed check run. (.1)	0.10	405.00	40.50
06-25-2025	A. Herren	Corresponded with Iron Mountain re: quote for destruction of records. (.1)	0.10	112.50	11.25
06-26-2025	A. Herren	Reviewed record destruction quotes; contacted Iron Mountain and corresponded with A. Juroe re same. (.2)	0.20	112.50	22.50
06-26-2025	E. Hughes	Filed bank statements. (.1)	0.10	112.50	11.25
06-27-2025	A. Herren	Corresponded with Iron Mountain re: document destruction quote. (.1)	0.10	112.50	11.25
07-07-2025	A. Juroe	Conferred with K. Freitag re: FTB bill. (.1)	0.10	295.00	29.50
07-08-2025	A. Herren	Conferred with A. Juroe and L. Ryan re: FTB bill; researched paying online. (.4)	0.40	112.50	45.00
07-08-2025	A. Juroe	Conferred with A. Herren re: FTB bill. (.1)	0.10	295.00	29.50
07-11-2025	T. Hebrank	Reviewed and signed check run. (.1)	0.10	405.00	40.50
07-11-2025	A. Herren	Processed FTB payment. (.2)	0.20	112.50	22.50
07-14-2025	A. Herren	Mailed FTB payment. (.1)	0.10	112.50	11.25
07-14-2025	T. Hebrank	Reviewed and signed check run. (.1)	0.10	405.00	40.50
07-29-2025	T. Hebrank	Reviewed and signed check run. (.1)	0.10	405.00	40.50
08-06-2025	A. Herren	Corresponded with A. Juroe re accounts payable. (.1)	0.10	112.50	11.25
08-08-2025	A. Herren	Processed check run; corresponded with A. Juroe re same. (.2)	0.20	112.50	22.50
08-11-2025	T. Hebrank	Reviewed and signed check run. (.1)	0.10	405.00	40.50
08-18-2025	A. Herren	Processed check run; corresponded with A. Juroe re same. (.2)	0.20	112.50	22.50
08-22-2025	T. Hebrank	Reviewed and signed check run. (.1)	0.10	405.00	40.50
			4.20		768.50
<u>Monex - Project Management</u>					
03-25-2025	A. Juroe	Messaged K. Freitag with action items, set up call to reviewed same. (.2)	0.20	295.00	59.00
03-26-2025	A. Juroe	Conferred with K. Freitag re: customer documentation review (Walnut), distribution action items. (.5)	0.50	295.00	147.50
04-07-2025	A. Juroe	Conferred with K. Freitag re status on claims, etc. (.3)	0.30	295.00	88.50
06-03-2025	A. Juroe	Reviewed email from K. Freitag related to TN regulator inquiry. (.1) Prepared list of claimants with payment amounts. (.2) Prepared and sent list of all TN customers with losses. (0.3)	0.60	295.00	177.00
06-05-2025	A. Juroe	Sent FTB bill to A. Herren for payment. (.1)	0.10	295.00	29.50
06-27-2025	A. Juroe	Messaged with Stretto re: final bill and closing case. (.2)	0.20	295.00	59.00
06-30-2025	A. Juroe	Reviewed quote from A. Herren re: document	0.10	295.00	29.50

Date	Professional	Description	Hours	Rate	Amount
<u>Monex - Project Management</u>					
		destruction. (.1)			
07-15-2025	A. Juroe	Reviewed email from Stretto with final invoice. (.1)	0.10	295.00	29.50
			2.10		619.50
		Total	220.10		60,610.50

Time Summary

Professional	Hours	Rate	Amount
A. Herren	61.10	191.76	11,716.50
A. Juroe	136.50	295.00	40,267.50
E. Hughes	0.10	112.50	11.25
G. Rodriguez	0.50	112.50	56.25
K. Freitag	19.80	405.00	8,019.00
L. Ryan	1.40	183.21	256.50
T. Hebrank	0.70	405.00	283.50
Total			60,610.50

Activity	Hours	Rate	Amount
Monex - Accounting and Reporting	39.40	291.79	11,496.50
Monex - Investor Relations	154.60	256.84	39,707.00
Monex - Monitor	19.80	405.00	8,019.00
Monex - Monitorship Administration	4.20	182.98	768.50
Monex - Project Management	2.10	295.00	619.50
Total Fees			60,610.50

Expense Summary

Expense	Amount
Copies & Postage	59.84
Website, Phone and Other IT	24.95
Total Expenses	84.79

Subtotal for this Invoice	60,695.29
Adjustment	(17,385.29)
Total for this Invoice	43,310.00

EXHIBIT C

08/19/25 13:25:53 PROFORMA STATEMENT FOR MATTER 395469.00002 (Krista L. Freitag - - Monitor for Monex) (General Receivership)

Preliminary Billing Form

Billing Atty: 001665 - Fates, Edward (Ted) Matter #: 395469.00002 Client Name: Krista L. Freitag - - Monitor for Monex
Date of Last Billing: 01/28/25 Matter Name: General Receivership
Proforma Number: 1349150
Client/Matter Joint Group # 395469.1 Client Matter Number:

Fees for Matter 395469.00002.(General Receivership)

Trans Date	Index	Description of Service Rendered	Timekeeper	Hours	Fees	Sum	Circle	Action
07/29/25	10165211	Discuss final accounting and motion for discharge with Monitor	Fates, Edward (Ted)	0.30	263.25	263.25	WO	HD TR

Proforma Summary

Timekeeper Number	Timekeeper	Hours	Rate	Amounts
001665	Fates, Edward (Ted)	0.30	877.50	263.25
		0.30		\$263.25
Subtotal Fees				\$263.25
Discount				0.00
Total Fees				263.25
Total Disbursements				0.00

Attorney Billing Instructions

() BILL ALL	() Hold
() BILL FEES ONLY	() Write Off
() BILL COST ONLY	() Transfer All

Billing Instructions

expires 6/30/2026: 10% off standard rates (automatic) no text editing; copies @ .10

Account Summary – As Of 08/19/25

08/19/25 13:25:53 PROFORMA STATEMENT FOR MATTER 395469.00002 (Krista L. Freitag - - Monitor for Monex) (General Receivership)

	Fiscal YTD			Calendar YTD			LTD		
	Total	Fees	Disb.	Total	Fees	Disb.	Total	Fees	Disbursements
Worked	667.50	526.50	141.00	526.50	526.50	0.00	6,556.65	6,415.65	141.00
Unbilled Adj	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Billed	0.00	0.00	0.00	3,282.45	3,141.45	141.00	5,889.15	5,889.15	141.00
Collected	0.00	0.00	0.00	3,282.45	3,141.45	141.00	6,030.15	5,889.15	141.00
AR Write Off	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
<i>WIP</i>	<i>Total</i>	<i>Fees</i>	<i>Costs</i>						
<i>Balance</i>	<i>532.10</i>	<i>526.50</i>	<i>5.60</i>						
<i>AR Balance</i>	<i>0.00</i>	<i>0.00</i>	<i>0.00</i>						
<i>Unalloc</i>	<i>0.00</i>								
<i>Payment</i>									
<i>Client Trust</i>	<i>0.00</i>								
<i>Balance</i>									

Billing Address

Krista L. Freitag - - Monitor for Monex Deposit Company, et al
E3 Advisors
355 South Grand Avenue, Suite 2450
Los Angeles, CA 90071

08/19/25 13:25:54 PROFORMA STATEMENT FOR MATTER 395469.00006 (Krista L. Freitag - - Monitor for Monex) (Claims & Distributions)

Preliminary Billing Form

Billing Atty: 001665 - Fates, Edward
(Ted)

Matter #: 395469.00006

Client Name: Krista L. Freitag - - Monitor for Monex

Date of Last Billing: 05/09/25

Matter Name: Claims & Distributions

Proforma Number: 1349150

Client/Matter Joint Group # 395469.1

Client Matter Number:

Fees for Matter 395469.00006.(Claims & Distributions)

Trans Date	Index	Description of Service Rendered	Timekeeper	Hours	Fees	Sum	Circle	Action	
03/07/25	9979415	Analyze and advise Monitor on distribution issues for incapacitated claimant	Fates, Edward (Ted)	0.30	241.65	241.65	WO	HD	TR
03/25/25	10001722	Analyze issues relating to distribution to heirs of deceased claimant (.3) discuss same with Monitor (.2) advise Monitor on approach and follow up steps regarding uncashed distribution checks (.3)	Fates, Edward (Ted)	0.80	644.40	886.05	WO	HD	TR
03/31/25	10008343	Advise Monitor on response to claimant objecting to distribution amount	Fates, Edward (Ted)	0.20	161.10	1,047.15	WO	HD	TR
04/03/25	10014953	Advise Monitor on claimant and distribution issues	Fates, Edward (Ted)	0.30	241.65	1,288.80	WO	HD	TR
04/04/25	10015899	Assist Monitor with issues relating to claimant distributions	Fates, Edward (Ted)	0.30	241.65	1,530.45	WO	HD	TR
04/11/25	10023669	Assist Monitor in drafting final notice to claimants regarding cashing of distribution checks (.3) communications with Monitor re: same and unique distribution issue relating to deceased	Fates, Edward (Ted)	0.60	483.30	2,013.75	WO	HD	TR

08/19/25 13:25:54 PROFORMA STATEMENT FOR MATTER 395469.00006 (Krista L. Freitag - - Monitor for Monex) (Claims & Distributions)

Fees for Matter 395469.00006.(Claims & Distributions)										
Trans Date	Index	Description of Service Rendered	Timekeeper	Hours	Fees	Sum	Circle Action			
		claimant and heirs (.3)								
04/14/25	10026160	Analyze issues relating to distribution to heirs of deceased claimant and advise Monitor re: same (.4) communications with counsel for judgment creditor of deceased claimant (.3)	Fates, Edward (Ted)	0.70	563.85	2,577.60	WO	HD	TR	_____
04/16/25	10029436	Follow up communications with counsel for judgment creditor of deceased claimant	Fates, Edward (Ted)	0.40	322.20	2,899.80	WO	HD	TR	_____
04/17/25	10030621	Analyze and advise Monitor on distribution issues for claimant with joint account with estranged son	Fates, Edward (Ted)	0.40	322.20	3,222.00	WO	HD	TR	_____
05/05/25	10057098	Analyze issues relating to estate of deceased claimant and creditor judgment lien issue (.7) discuss same with Monitor (.4) call with counsel for judgment creditor (.4)	Fates, Edward (Ted)	1.50	1,208.25	4,430.25	WO	HD	TR	_____
05/08/25	10060257	Advise Monitor on status of claimant distribution issue	Fates, Edward (Ted)	0.20	161.10	4,591.35	WO	HD	TR	_____
05/12/25	10063522	Communications with counsel for judgment creditor of deceased claimant regarding judgment lien issues (.7) discuss same with Monitor (.2)	Fates, Edward (Ted)	0.90	724.95	5,316.30	WO	HD	TR	_____
05/13/25	10065989	Further communications with counsel for judgment creditor of deceased claimant	Fates, Edward (Ted)	0.40	322.20	5,638.50	WO	HD	TR	_____
05/23/25	10078842	Advise Monitor on update for claimants on restitution fund website	Fates, Edward (Ted)	0.20	161.10	5,799.60	WO	HD	TR	_____

08/19/25 13:25:54 PROFORMA STATEMENT FOR MATTER 395469.00006 (Krista L. Freitag - - Monitor for Monex) (Claims & Distributions)

Fees for Matter 395469.00006.(Claims & Distributions)

Trans Date	Index	Description of Service Rendered	Timekeeper	Hours	Fees	Sum	Circle	Action	
06/05/25	10097758	Analyze and advise Receiver on response to request from TN securities division regarding TN customer claim and distribution information	Fates, Edward (Ted)	0.30	241.65	6,041.25	WO	HD	TR _____
06/30/25	10127148	Communications with Monitor re: final distributions and wrap up of restitution fund	Fates, Edward (Ted)	0.20	161.10	6,202.35	WO	HD	TR _____
07/07/25	10171006	Analyze and address issues relating to claim of deceased claimant (Shamaan) and judgment claim asserted by ex-wife to distribution, communications with counsel for heirs of deceased ex-wife (.6) advise Monitor re: same (.3)	Fates, Edward (Ted)	0.90	789.75	6,992.10	WO	HD	TR _____

Proforma Summary

Timekeeper Number	Timekeeper	Hours	Rate	Amounts
001665	Fates, Edward (Ted)	7.70	805.50	6,202.35
001665	Fates, Edward (Ted)	0.90	877.50	789.75
		8.60		\$6,992.10
Subtotal Fees				\$6,992.10
Discount				0.00
Total Fees				6,992.10
Total Disbursements				0.00

Attorney Billing Instructions

<input type="checkbox"/> BILL ALL	<input type="checkbox"/> Hold
<input type="checkbox"/> BILL FEES ONLY	<input type="checkbox"/> Write Off
<input type="checkbox"/> BILL COST ONLY	<input type="checkbox"/> Transfer All

Billing Instructions

expires 6/30/2026: 10% off standard rates (automatic) no text editing; copies @ .10

08/19/25 13:25:54 PROFORMA STATEMENT FOR MATTER 395469.00006 (Krista L. Freitag - - Monitor for Monex) (Claims & Distributions)

Account Summary – As Of 08/19/25

	Fiscal YTD			Calendar YTD			LTD		
	Total	Fees	Disb.	Total	Fees	Disb.	Total	Fees	Disbursements
Worked	789.75	789.75	0.00	17,557.65	17,557.65	0.00	44,305.20	44,305.20	0.00
Unbilled Adj	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Billed	0.00	0.00	0.00	33,247.35	33,247.35	0.00	37,313.10	37,313.10	0.00
Collected	0.00	0.00	0.00	33,247.35	33,247.35	0.00	37,313.10	37,313.10	0.00
AR Write Off	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Total	Fees	Costs						
WIP	6,992.10	6,992.10	0.00						
Balance									
AR Balance	0.00	0.00	0.00						
Unalloc	0.00								
Payment									
Client Trust	0.00								
Balance									

Billing Address

Krista L. Freitag - - Monitor for Monex Deposit Company, et al
E3 Advisors
355 South Grand Avenue, Suite 2450
Los Angeles, CA 90071

08/19/25 13:25:55 PROFORMA STATEMENT FOR MATTER 395469.00009 (Krista L. Freitag - - Monitor for Monex) (Employment/Fees)

Preliminary Billing Form

Billing Atty: 001665 - Fates, Edward Matter #: 395469.00009 Client Name: Krista L. Freitag - - Monitor for Monex
(Ted)
Date of Last Billing: 05/09/25 Matter Name: Employment/Fees
Proforma Number: 1349150
Client/Matter Joint Group # 395469.1 Client Matter Number:

Fees for Matter 395469.00009.(Employment/Fees)

Trans Date	Index	Description of Service Rendered	Timekeeper	Hours	Fees	Sum	Circle Action		
04/17/25	10030779	Meet and confer communication to parties regarding interim fee applications and intent to file same (.2) call with Monitor and FTC counsel re: same (.3) follow up meet and confer communications (.2)	Fates, Edward (Ted)	0.70	563.85	563.85	WO	HD	TR

Proforma Summary

Timekeeper Number	Timekeeper	Hours	Rate	Amounts
001665	Fates, Edward (Ted)	0.70	805.50	563.85
		0.70		\$563.85
Subtotal Fees				\$563.85
Discount				0.00
Total Fees				563.85
Total Disbursements				0.00

Attorney Billing Instructions

() BILL ALL	() Hold
() BILL FEES ONLY	() Write Off
() BILL COST ONLY	() Transfer All

08/19/25 13:25:55 PROFORMA STATEMENT FOR MATTER 395469.00009 (Krista L. Freitag - - Monitor for Monex) (Employment/Fees)

Billing Instructions

expires 6/30/2026: 10% off standard rates (automatic) no text editing; copies @ .10

Account Summary – As Of 08/19/25

	Fiscal YTD			Calendar YTD			LTD		
	Total	Fees	Disb.	Total	Fees	Disb.	Total	Fees	Disbursements
Worked	0.00	0.00	0.00	1,449.90	1,449.90	0.00	1,449.90	1,449.90	0.00
Unbilled Adj	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Billed	0.00	0.00	0.00	886.05	886.05	0.00	886.05	886.05	0.00
Collected	0.00	0.00	0.00	886.05	886.05	0.00	886.05	886.05	0.00
AR Write Off	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
<i>WIP</i>	<i>Total</i>	<i>Fees</i>	<i>Costs</i>						
<i>Balance</i>	<i>563.85</i>	<i>563.85</i>	<i>0.00</i>						
<i>AR Balance</i>	<i>0.00</i>	<i>0.00</i>	<i>0.00</i>						
<i>Unalloc</i>	<i>0.00</i>								
<i>Payment</i>									
<i>Client Trust</i>	<i>0.00</i>								
<i>Balance</i>									

Billing Address

Krista L. Freitag - - Monitor for Monex Deposit Company, et al
E3 Advisors
355 South Grand Avenue, Suite 2450
Los Angeles, CA 90071